



**SEXUAL MISCONDUCT POLICY**

*Revised 10/24/22*

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## **I. INTRODUCTION**

### **A. Statement of Policy**

American International College (the “College”) is committed to maintaining a safe and respectful learning, living, and working environment for all members of the College community free from gender-based discrimination and Sexual Misconduct (as defined in this Policy) including, but not limited to, Sexual Harassment, Sexual Assault, Sexual Exploitation, Relationship Violence, and Stalking.

Sexual Misconduct is antithetical to the mission and values of the College and will not be tolerated. The College will respond to reports and formal complaints of Sexual Misconduct (as defined in this Policy) and will take prompt action to eliminate such conduct, prevent its recurrence, and remedy the adverse effects of such conduct in the College’s programs and activities.

A Student, Employee or Third Party determined by the College to have violated this Policy will be subject to sanctions, up to and including the termination of their relationship with the College, withdrawal of the privilege to enter upon College property, and/or to participate in College sanctioned or supported activities and events.

The College reserves the right to amend or modify this Policy and the procedures herein for any reason or no reason, including but not limited to, take into account applicable legal requirements, address extraordinary circumstances, and/or to further the purpose and intent of the Policy as it deems necessary. Should any changes be made, notice will be provided via AIC email and changes become effective on the date of the notice unless otherwise specified.

### **B. Applicability**

This Sexual Misconduct Policy (“Policy”) applies to all members of the College community including students enrolled for credit or non-credit-bearing coursework; College employees, including full-time and part-time faculty, staff, and administrators; as well as third parties such as contractors, vendors, visitors, and guests (collectively referred to herein as “Community Member”).

This Policy will apply to any instance in which a Community Member is alleged to have engaged in Sexual Misconduct prohibited under this Policy against any person, regardless of the Complainant’s or Respondent’s sexual orientation or gender.

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Where conduct in violation of this Policy also violates any other College policies, the College's response will be governed by the procedures described herein. Where this Policy applies, its provisions will supersede any conflicting provision contained in other College policies.

### **C. Reports and Formal Complaints of Prohibited Conduct**

The College strongly encourages anyone who experiences, witnesses, or becomes aware of Sexual Misconduct to report such conduct immediately. This Policy specifically prohibits retaliation against any person who makes a good faith report or formal complaint of conduct prohibited under this Policy.

The College Title IX/Sexual Misconduct Coordinator and the Deputy Title IX/Sexual Misconduct Coordinator are the College officials with authority to institute corrective measures on behalf of the College with respect to alleged violations of this Policy.

The College will promptly respond to reports or formal complaints of prohibited conduct made in accordance with the requirements of this Policy. The College's response will include measures designed to stop the prohibited conduct, prevent its recurrence, and remediate any adverse effects of such conduct.

### **D. Period of Limitations**

A report or formal complaint of Sexual Misconduct may be made at any time, regardless of the length of time between the occurrence of the alleged misconduct and the decision to report or file. However, the College strongly encourages individuals to report or file promptly. A delay in reporting or filing a formal complaint may compromise the College's ability to take action and effectively respond to the alleged misconduct, particularly if neither the Complainant nor the Respondent is employed by the College or enrolled as a Student at the time the Complaint is made.

### **E. Statement of Non-Discrimination and Coordination of Policies**

The College is committed to adhering to all state and federal laws prohibiting discrimination in its educational programs and activities including employment on the basis of a person's race, sex, religion, color, national origin, age, marital or parental status, military service or veteran status, gender, disabilities, genetic information or other legally protected status.<sup>1</sup>

This Policy supports the College's commitment to compliance with Title IX of the Education Amendments of 1972 (Title IX), a federal civil rights law that prohibits

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<sup>1</sup> The prohibition of forms of discrimination not covered by this Policy is addressed in the College's Non-Discrimination and Anti-Harassment Policy.

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discrimination on the basis of sex in education programs and activities, as well as other federal and state laws prohibiting discrimination in education and employment including Title VII of the Civil Rights Act of 1964 and The Massachusetts Fair Employment Practices Act, M.G.L. Ch. 151B.

Questions concerning the College's policies prohibiting sex-based discrimination including Sexual Misconduct and other forms of prohibited discrimination and harassment, can be directed to the Title IX Coordinator, Matthew Scott, whose office is located on the second floor of the Campus Center and who can be contacted by phone at 413-205-3264 or by email at matthew.scott@aic.edu.

In addition to making reports to the College, individuals may direct questions and/or complaints regarding sex-based discrimination to the United States Department of Education Office for Civil Rights, at (OCR@ed.gov or (800) 421-3481), or to the United States Equal Employment Opportunity Commission, John F. Kennedy Federal Building, 475 Government Center, Boston, MA (617) 565-3200 and the Massachusetts Commission Against Discrimination, 436 Dwight St #220, Springfield, MA 01103, (413) 739-2145, concerning prohibited discrimination in employment.

#### **F. Individuals with Disabilities**

The College will provide appropriate accommodations for individuals with disabilities, to the extent necessary and available, to access and participate in the procedures outlined in this Policy. An individual seeking accommodation must notify the Title IX Coordinator of their need for accommodation. Requests for accommodations for Employees and Students may be reviewed in consultation with Human Resources and/or the Center for Accessibility Services and Academic Accommodations.

#### **G. College Title IX/Sexual Misconduct Conduct Coordinators**

The College has designated Matthew Scott to serve as the College Title IX/Sexual Misconduct Coordinator ("Coordinator").<sup>2</sup> The Coordinator is charged with oversight and coordination of the College's activities with respect to Title IX compliance and the development and implementation of the College's policies, procedures, and activities to prevent sexual misconduct and response to reports and formal complaints alleging conduct in violation of this Policy. The College has also designated a Deputy College Title IX/Sexual Misconduct Coordinator ("Deputy Coordinator") to assist the Coordinator in the discharge of these responsibilities.

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<sup>2</sup> The Title IX Coordinator may designate the Deputy Title IX Coordinator or another qualified person to assume or assist in performing all or part of his or her duties under this Policy. Therefore, where the Coordinator is identified as the person responsible for some aspect of this Policy, such provision should be read with the understanding that any duty or function of the Coordinator, other than overall responsibility for the oversight and coordination of Title IX programs and compliance, may be performed by the Deputy or another qualified person designated by the Coordinator.

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The Coordinator is available to meet with any Community Member to discuss this Policy or any issues or concerns with respect to the College’s Title IX related policies, procedures and activities. The Coordinator and Deputy Coordinator can be contacted by telephone, email, or in person during regular office hours:

<b>All Title IX &amp; Sexual Misconduct Related Complaints</b>	
<p><b>Matthew Scott</b>  Vice President for Student Affairs  Title IX Coordinator  Schwartz Campus Center, 1000 State Street, Springfield, MA 01109  413-205-3264  Matthew.scott@aic.edu</p>	
<b>Additional Resources for Title IX &amp; Sexual Misconduct Related Complaints</b>	
<p><u>Complaints against employees:</u>  <b>Millie Lopez-Cook</b>  Director of Human Resources  Deputy Title IX Coordinator  Adams Hall, 1000 State Street, Springfield, MA 01109  413-654-1479  millie.lopezcook@aic.edu</p>	<p><u>Complaints against students:</u>  <b>Alex Cross</b>  Dean of Students  Deputy Title IX Coordinator for Students  Campus Center, 1000 State Street, Springfield, MA 01109  Alexander.cross@aic.edu  413-654-1434</p>
<p><u>Complaints against employees:</u>  <b>Nicolle Cestero</b>  Deputy Title IX Coordinator  Adams Hall, 1000 State Street, Springfield, MA 01109  413-205-3800  nicolle.cestero@aic.edu</p>	<p><u>Complaints regarding gender equity in athletics:</u>  <b>Jessica Chapin</b>  Director of Athletics  Deputy Title IX Coordinator for Athletic Compliance  Athletic Complex, 1000 State Street, Springfield, MA 01109  413-205-3532  Jessica.chapin@aic.edu</p>

## II. PROHIBITED CONDUCT

This Sexual Misconduct Policy prohibits forms of sexual discrimination as defined in Title IX regulations issued by the U.S. Department of Education (34 CFR Part 106) and other sexual misconduct that does not fall within the scope of Title IX. Conduct prohibited under this Policy includes the following:

### A. Title IX – Sexual Harassment

Title IX Sexual Harassment includes all of the forms of conduct on the basis of sex set forth in this Section III (A) meeting the following requirements:

**Jurisdiction** – Title IX applies only when the alleged sexual conduct:

- Occurs within the United States; and

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- Occurs within the College’s education program or activity, meaning
  - o locations, events, or circumstances over which the College exercises substantial control over both the respondent and the context in which the Title IX Sexual Harassment occurs, and
  - o any building owned or controlled by a student organization that is officially recognized by the College; and
  - o at the time of filing a formal complaint, a complainant is participating in or attempting to participate in the education program or activity at the College.

**Title IX – Sexual Harassment includes:**

- **Quid Pro Quo Sexual Harassment:** An employee of the College conditioning the provision of an aid, benefit, or service of the College on an individual’s participation in unwelcome sexual conduct;
- **Sexual Harassment:** Unwelcome sexual conduct determined by a reasonable person to be so severe, pervasive, and objectively offensive to Reasonable Person that it effectively denies an individual equal access to the College’s education program or activity;
- **Sexual Assault:** Any sexual act directed against another person, without the consent of the victim, including instances where the victim is incapable of giving consent. Sexual assault can occur between individuals of the same or different sexes and/or genders. This includes the following:
  - o **Rape:** The carnal knowledge of a person, without the consent of the victim, including instances where the victim is incapable of giving consent because of their age or because of their temporary or permanent mental or physical incapacity;
  - o **Sodomy:** Oral or anal sexual intercourse with another person, without the consent of the victim, including instances where the victim is incapable of giving consent because of their age or because of their temporary or permanent mental or physical incapacity;
  - o **Sexual Assault with an Object:** To use an object or instrument to unlawfully penetrate, however slightly, the genital or anal opening of the body of another person, without the consent of the victim, including instances where the victim is incapable of giving consent because of their age or because of their temporary or permanent mental or physical incapacity;
  - o **Fondling:** The touching of the private body parts of another person for the



- purpose of sexual gratification, without the consent of the victim, including instances where the victim is incapable of giving consent because of their age or because of their temporary or permanent mental or physical incapacity;
- o **Incest:** Sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law; or
  - o **Statutory Rape:** Sexual intercourse with a person who is under the statutory age of sixteen (16).
- **Domestic Violence:** A felony or misdemeanor crime of violence committed: (a) by a current or former spouse or intimate partner of the victim; (b) by an individual with whom the victim shares a child in common; (c) by an individual who is cohabitating with, or has cohabitated with, the victim as a spouse or intimate partner; (d) by an individual similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction in which the felony or misdemeanor crime of violence occurred; (e) by any other individual against an adult or youth victim who is protected from that individual's acts under the domestic or family violence laws of the jurisdiction in which the felony or misdemeanor crime of violence occurred.
    - o Intimate partner is defined as an individual with whom one has or had a short- or long-term relationship that provides romantic and/or physical intimacy or emotional dependence. Intimate relationships can occur between individuals of the same gender or different genders and may include (but are not limited to) marriages, civil unions, dating relationships, "hook-up" relationships, relationships in which partners are characterized as "girlfriends" or "boyfriends," and relationships between individuals with a child in common.
  - **Dating Violence:** Violence committed by an individual who is or has been in a social relationship of a romantic or intimate nature with the victim. The existence of such a relationship shall be determined based on the reporting individual's statement and with consideration of the length of the relationship, the type of relationship, and the frequency of interaction between the individuals involved in the relationship. This includes, but is not limited to, sexual or physical abuse or the threat of such abuse. Dating violence does not include acts covered under the definition of domestic violence.
  - **Stalking:** Engaging in a course of conduct directed at a specific individual that would cause a reasonable person to: (a) fear for the individual's safety or the safety of others; or (b) suffer substantial emotional distress. For the purposes of the Stalking definition: Course of conduct means two or more acts, including

acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about an individual, or interferes with an individual's property. Substantial emotional distress means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.

## **B. College - Other Prohibited Conduct**

Other prohibited conduct means forms of conduct based on sex prohibited by the College as set forth in this Section III (B) meeting the following requirements:

**Jurisdiction** – Other prohibited conduct applies when the alleged sexual conduct:

- Occurs on College premises or other property owned or controlled by the College;
- Occurs in the context of a College employment or education program or activity, regardless of location, including, but not limited to College-sponsored events and programs including study abroad, research, on-line and internship programs; or
- Occurs on premises or other property not owned or controlled by the College or outside the context of a College employment or education program or activity, where the impact of the conduct has the effect of interfering with a Community Member(s) equal access to the College's employment or educational programs and/or activities.

**Other Prohibited Conduct includes:**

- **Conduct Outside Title IX Jurisdiction:** conduct that would otherwise be prohibited under Section III (A) (e.g., Quid Pro Quo Sexual Harassment, Sexual Harassment, Sexual Assault, Domestic Violence, Dating Violence, and Stalking), but which must be dismissed under the Title IX Sexual Harassment Policy because they do not meet jurisdictional requirements.
- **Sexual Harassment:** defined as any unwelcome sexual advance, request for sexual favors, or other unwanted conduct of a sexual nature, whether verbal, non-verbal, graphic, physical, or otherwise, when:
  - o Submission to or rejection of such conduct is either an explicit or implicit term or condition of an individual's employment, academic standing, evaluation of academic work or advancement in an academic program, or is used as the basis for College decisions affecting the individual (often referred to as "quid pro quo" or "this for that" harassment); or

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- o Creates a hostile environment when the conduct has the purpose or effect of unreasonably interfering with an individual’s learning, working, or living environment, or limiting or depriving an individual of the ability to participate in or benefit from the College’s employment or educational programs and/or activities. Conduct must be sufficiently severe or pervasive to create an intimidating, threatening, abusive, humiliating, or sexually offensive learning, working, or living environment.<sup>3</sup> A single incident of Prohibited Conduct can be sufficiently severe to support the existence of a hostile environment (for example, Sexual Assault or Sexual Exploitation).
- **Examples of conduct that may constitute Sexual Harassment include, but are not limited to:**
  - o Unwelcome sexual advances, whether they involve physical touching or not;
  - o Sexual innuendos, jokes, written or verbal references to sexual conduct, gossip regarding one’s sex life, commenting on an individual’s body, discussion or inquiry about an individual’s sexual orientation, sexual activity, deficiencies, or prowess;
  - o Displaying or circulating sexually suggestive objects, pictures, videos, or cartoons, including via electronic communications;
  - o Bullying or hazing based on sex or gender.
- **Sexual Exploitation:** occurs when a person takes non-consensual or abusive sexual advantage of another. Sexual exploitation includes the following activities:
  - o Taking advantage of another person, or attempting to take advantage of another person, without that person’s consent. The following activities are prohibited under this provision:
  - o Voyeurism or Peeping: Intentionally watching, videotaping, or recording an individual who is undressing, completely or partially naked, or engaging in sexual activity. This includes allowing others to observe such conduct.

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<sup>3</sup> Title IX does not reach curriculum or in any way prohibit or abridge the use of particular textbooks or curricular materials. *See* 28 C.F.R. § 54.455; 34 C.F.R. § 106.42. Furthermore, OCR’s 2001 *Guidance* stated that “Title IX is intended to protect students from sex discrimination, not to regulate content of speech... [T]he offensiveness of a particular expression as perceived by some students, standing alone, is not a legally sufficient basis to establish a sexually hostile environment under Title IX.” Department of Education, Office for Civil Rights, *Revised Sexual Harassment Guidance* (2001) at 22. *See also* OCR Dear Colleague Letter on the First Amendment, July 28, 2003 (explaining that “OCR’s regulations should not be interpreted in ways that would lead to the suppression of protected speech on public or private campuses.”).

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- o Sexual Exhibitionism: Engaging in sexually explicit activity in public spaces, including online.
  - o Displaying or distributing nude or sexually explicit images of another person without that person’s consent.
  - o Writing or marking of graffiti on College property that is sexually graphic in nature.
  - o Prostituting another person or soliciting a prostitute to campus, or a campus event, to engage in prostitution.
  - o Knowingly exposing another person to a sexually transmitted infection or virus without that person’s knowledge.
- **Inducing Incapacitation:** providing alcohol or drugs to an individual, with or without the individual’s knowledge, with the purpose or intent of taking advantage of that individual’s impairment or intoxication in furtherance of any conduct prohibited under this Policy.
  - **Aiding or Facilitating:** Aiding or facilitating Sexual Misconduct means promoting or encouraging the commission of any behavior prohibited under this Policy. Community Members are prohibited both from personally engaging in Sexual Misconduct, and also from engaging in conduct which assists or encourages another person or persons to engage in such conduct (for example, acting as a lookout or inciting another to engage in prohibited conduct).
  - **Attempted Violations:** The College will treat attempts to commit any of the conduct prohibited by this Policy as if those attempts had been completed.

### C. Retaliation

Retaliating is seeking retribution against a Complainant, Respondent, or any individual for exercising their rights under this Policy or making a report or complaint, testifying, assisting, conducting, participating or refusing to participate in an investigation, hearing, or other proceeding under this Policy. Retaliation can be committed by any individual or group of individuals, not just those involved in a report or complaint.

Retaliation may include, but is not limited to, threats, coercion, verbal abuse, violence, and harassment, including knowingly making false statements about another person verbally or in writing. Retaliation will violate this Policy even where the underlying allegation(s) of Sexual Misconduct are dismissed or a finding of “no responsibility” has been made.

Complaints alleging retaliation under this Policy, including for the exercise of rights under this Policy, must be filed as a formal complaint in accordance with the procedures set forth in this Policy.

The College may take disciplinary action against an individual for making a materially false statement in bad faith in the course of an investigation, proceeding, or hearing under this Policy. However, the College will not conclude that a materially false statement was made in bad faith solely based on a determination regarding responsibility in connection with an adjudication under this Policy. Further, the exercise of rights guaranteed under law will not constitute a violation of this Policy.

### **III. CONSENT**

Engaging in sexual activity of any type with another person without his or her Consent is prohibited. Consent is defined as a freely and affirmatively communicated willingness to participate in sexual activity, expressed either by words or clear, unambiguous actions.

Individuals who choose to engage in sexual behavior should verbally communicate their intentions and Consent to engage in specific sexual activity as clearly as possible.

Consent may be withdrawn at any time. An individual who seeks to withdraw consent should communicate, through clear words or actions, a decision to cease the sexual activity. Once Consent is withdrawn, the sexual activity must cease immediately.

A person who initiates a sexual activity is responsible for obtaining Consent for that activity. Lack of protest, lack of resistance, silence and/or passivity do not constitute Consent. Relying solely on non-verbal communication before or during sexual activity can lead to misunderstanding and may result in a violation of this Policy.

Consent to one form of sexual activity or with one partner does not, by itself, constitute Consent to another form of sexual activity or with others. In addition, Consent to sexual activity on a prior occasion does not, by itself, constitute Consent to future sexual activity. In cases where the parties are or were engaged in a prior or ongoing consensual sexual relationship, the manner and nature of prior communications between the parties and the context of the relationship may have a bearing on evaluating the presence of Consent, but any sexual activity must still be mutually agreed upon.

Consent, even when given, is ineffective if given by a minor (in Massachusetts, those not yet sixteen (16) years of age), by individuals who are incapacitated, or when obtained by force, violence, threats, intimidation, or coercion.

## A. Incapacity

A person who is incapacitated is unable, temporarily or permanently, to give Consent because she or he lacks the ability to make informed, rational judgments about whether or not to engage in sexual activity as a result of mental or physical helplessness, sleep, unconsciousness, or lack of awareness that sexual activity is taking place.

Mental helplessness means a person who is incapable of appraising or controlling his or her own conduct. Physical helplessness means a person who is physically unable to communicate his or her willingness or unwillingness to engage in an act. A person may be incapacitated as a result of the voluntary or involuntary consumption of alcohol or other drugs, or due to a temporary or permanent physical or mental health condition.

A person is not necessarily incapacitated merely as a result of ingesting alcohol or other drugs. Incapacitation is an extreme form of intoxication. The impact of alcohol and other drugs varies from person to person. A person's level of intoxication can change rapidly, and incapacitation can be reached within a short period of time. Although every individual may manifest signs of incapacitation differently, typical signs include, but are not limited to, slurred or incomprehensible speech, unsteady gait, combativeness, emotional volatility, vomiting, outrageous or unusual behavior, and/or unconsciousness.

## B. Consent Cannot Be Obtained by Force

Consent cannot be obtained by Force. Force includes the use of physical violence, threats, intimidation, and/or coercion.

**Physical violence** means that a person is exerting control over another person through the use of physical force. Examples of physical violence include hitting, punching, slapping, kicking, pushing, restraining, choking, and brandishing or using any weapon.

**Threats** are words or actions that would compel a reasonable person to engage in unwanted sexual activity. Examples include threats to harm a person physically, to reveal private information to harm a person's reputation or cause a person academic or economic harm.

**Intimidation** is an implied threat that menaces or causes reasonable fear in another person. A person's size, alone, does not constitute intimidation; however, a person's size may be used in a way that constitutes intimidation (e.g., blocking access to an exit).

**Coercion** is the use of an unreasonable amount of pressure to gain sexual access. Coercion is more than an effort to persuade, entice, or attract another person to have

sex. When a person makes clear a decision not to participate in a particular sexual activity or a form of sexual activity, a decision to stop, or a decision not to go beyond a certain sexual interaction, continued pressure can be coercive. To constitute coercion, conduct must impair the other individual's freedom of will to participate in sexual activity.

In evaluating whether Consent existed, consideration will be given to the totality of the circumstances including, but not limited to, the extent to which the words or clear, unambiguous actions of the alleged victim affirmatively communicated his or her willingness to participate in sexual activity; whether a reasonable sober person in the position of the individual alleged to have engaged in the prohibited conduct would have known or should have known that the alleged victim was incapacitated; and whether the circumstances indicate that Consent to sexual activity did not exist.

#### **IV. REPORTING AND CONFIDENTIALITY**

The following information is provided to help Community Members make informed choices about where to turn if they or someone else is a victim of Sexual Misconduct. The College encourages victims to talk to someone about what happened so that they can get the care and support they may need, and so the College can take prompt action to respond to the issue.

The College is committed to protecting the privacy of all involved in the response to a report of Sexual Misconduct in accordance with applicable law, and will take steps to limit disclosure of related information only to those individuals who have a need to know in order to assess the report, provide supportive measures, and to take steps to eliminate conduct that violates this Policy, prevent its recurrence, and remedy its effects.

The privacy of Student education records will be protected in accordance with the Family Educational Rights and Privacy Act (FERPA). The privacy of an individual's medical and related records is generally protected by the Health Insurance Portability and Accountability Act (HIPAA), excepting health and treatment records protected by FERPA.

##### **A. Employee Confidentiality and Reporting Obligations**

It is important to understand that each Employee's obligations with respect to maintaining confidentiality and reporting disclosures concerning Sexual Misconduct will depend on whether the Employee is considered to be a "Confidential Employee." Only Confidential Employees are exempted from the requirement for employees to report information concerning incidents of Sexual Misconduct to the Coordinator.

All Employees including faculty, staff, administrators, and students employed as resident assistants, community desk workers, graduate assistants and teaching

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assistants who are not identified as being a Confidential Employee as defined herein, are required to immediately report all information obtained, whether directly or indirectly, about incidents of Sexual Misconduct to the Coordinator including the names, if known, of the victim and all others involved in, or having knowledge of the incident.

Reporting allows the Coordinator to provide support services and information concerning reporting and resolution options and procedures to individuals who may have been subjected to Sexual Misconduct and to evaluate patterns, trends, and safety issues for the Community at-large.

**Confidential Employees:** A victim of Sexual Misconduct can seek assistance and support from a Confidential Employee without triggering a report of information concerning such conduct to the Coordinator or to the College that could reveal the victim's identity or that the victim disclosed the incident.

The College has designated individuals who are employed in positions that provide medical care, mental health services, and counseling, as well as employees providing such services under their supervision, as being Confidential Employees. A listing of confidential positions is included in section V (A) below.

The designation of Confidential Employee status extends to employees working in positions that provide administrative and/or related support to Confidential Employees in connection with their provision of confidential services. However, the designation extends only to information received or learned by such employees in the course of performing their job duties in support of the Confidential Employee(s).

Confidential Employees are instructed to inform individuals who may have been subjected to Sexual Misconduct of their right to make a report and to file a Complaint with the College, however, Confidential Employees are not required to disclose to the College any personal or other information that could reveal the identity of a victim of Sexual Misconduct who disclosed information to the Confidential Employee in connection with his or her provision of confidential services to the victim.

While Confidential Employees may maintain a victim's confidentiality with respect to the College, they may disclose personally identifiable information as required by law or a court order. For example, such information may be disclosed when: (i) the victim gives written consent for disclosure; (ii) there is an imminent threat of harm to the individual or others; or (iii) the information concerns conduct involving suspected abuse or neglect of a minor under the age of 18.



## **B. Mandated Reporting of Abuse or Neglect of a Minor**

All Community members are required to immediately report any suspected abuse or neglect of a minor (under 18) to the Coordinator who will contact appropriate agencies including law enforcement and the Massachusetts Department of Children and Families (“DCF”). Community Members may also report suspected abuse or neglect of a minor through DCF’s Child-at-Risk Hotline at (800) 792-5200.

## **V. CONFIDENTIAL AND NON-CONFIDENTIAL SUPPORT AND ASSISTANCE**

The College offers a wide range of resources to provide Community Members with care, support and guidance in response to incidents of Sexual Misconduct. The College strongly encourages community members who have been subjected to Sexual Misconduct to seek care and support from confidential and/or non-confidential resources available to them on or off campus.

### **A. On-Campus Resources**

A listing of on-campus confidential and non-confidential resources, including contact information that can provide Community Members with emergency and ongoing medical treatment, counseling, support, victim advocacy services, and options for reporting incidents to the College and/or law enforcement is included at [Appendix C](#).

### **B. Off-Campus Resources**

Off-campus counselors, advocates, and health care providers will also generally maintain confidentiality and not share information with the College unless the victim authorizes the disclosure.

Information concerning some of the resources available in the Springfield community including hospitals, counseling, advocates, law enforcement agencies, and legal services is included in [Appendix C](#).

## **VI. SUPPORTIVE MEASURES**

The College’s Title IX /Sexual Misconduct Coordinator is responsible for the implementation of supportive measures and for coordinating with other College officials and departments to do so. Upon receipt of a report or formal complaint of a violation of this Policy, the College, through the Coordinator, will promptly contact the complainant, or if unknown attempt to identify the complainant, to discuss the availability of supportive measures.

Supportive measures are designed to restore or preserve equal access to the College’s educational and employment programs or activities without unreasonably

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burdening the other party, including measures designed to protect the safety of all parties and the broader College community, or deter Sexual Misconduct. The approval and application of supportive measures will be determined by the specific circumstances of each case.

The Coordinator shall consider the complainant's wishes with respect to supportive measures, inform the complainant of the availability of supportive measures with or without the filing of a formal complaint, and explain to the complainant the process for filing a formal complaint. The College will also consider supportive measures, as appropriate and reasonably available, for the respondent and for third parties, taking into account the role of the third party and the nature of their relationship to the College.

Supportive measure may impose restrictions on a party however, supportive measures will not impose an unreasonably burden them. The College may provide supportive measures to the complainant or respondent, as appropriate, as reasonably available, and will do so without fee or charge, regardless of whether the complainant seeks formal disciplinary action.

Once supportive measures are approved, the parties and/or affected individuals will be notified in writing of the supportive measures. The College will keep supportive measures provided to a party confidential to the extent practicable.

For information and assistance in arranging supportive measures, individuals may contact the Coordinator or a Deputy Coordinator as detailed in Section I(G) above.

Examples of supportive measures include:

- Issuing mutual no-contact orders (i.e., an order directing one or both of the parties to refrain from contacting the other, directly or through proxies);
- Placing a non-student Employee on administrative leave from employment during the pendency of investigation and resolution proceedings.
- Arranging access to counseling services and assistance in setting up initial appointments for students, or providing contact information for available counseling services for employees;
- Assistance in seeking academic assistance or modifications;
- Assistance in modifying College housing arrangements;
- Assistance in modifying College employment arrangements, including changes in work schedules, job assignments, or work locations;
- Changing an Employee's phone number at work;
- Arranging a meeting with Police to discuss safety planning, the filing of a criminal complaint or request for a protective order;
- Arranging a leave of absence.

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## VII. REPORTING SEXUAL MISCONDUCT

The College strongly encourages anyone (students, employees, faculty, visitors or others) who experience, witness, or becomes aware of any incident of Sexual Misconduct, regardless of location, to report such conduct immediately.

The College prohibits retaliation against anyone who reports incident of sexual misconduct, assist another in making a report, or who participates in investigation of a report or the College's grievance procedures in response to a formal complaint.

The College provides multiple avenues for reporting incidents of Sexual Misconduct. Individuals may choose to make a report to the College under this Policy, either directly or anonymously, to law enforcement for potential criminal prosecution, or to both or neither. Additionally, individuals can choose to pursue civil legal action and/or to seek protective orders from a court of law with or without making a report to the College or law enforcement.

Complaints made to the College, law enforcement and/or civil courts can be pursued concurrently under the College's grievance procedures and external civil and/or criminal processes.

### A. Filing a Complaint with Law Enforcement

A Complainant has the right to notify or decline to notify law enforcement concerning an incident of Sexual Misconduct. A Complainant who wishes to pursue criminal action in addition to, or instead of, making a report to the College is strongly encouraged to immediately notify law enforcement directly by contacting:

#### **American International College Campus Police**

Hines Hall, 54 Homer St., Springfield, MA 01109  
413-205-3208

Campus Police Officers are available 24 hours a day, seven days a week. Campus Police Officers are available 24 hours a day, seven days a week [MODIFY AS APPROPRIATE].

#### **Springfield Police Department**

130 Pearl Street, Springfield, MA 01109  
413-787-6300  
Emergency – 911

Police have the power to seek and execute search warrants, collect forensic evidence, make arrests, and assist in obtaining civil protective orders. Police and/or the Title IX Coordinator can provide support and arrange transportation for a Community Member who wishes to seek the assistance of off-campus law enforcement authorities concerning incidents of Sexual Misconduct. Under limited circumstances, posing a

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threat to health or safety of a Community Member, the College may independently notify law enforcement of the incident(s).

Individuals may inform law enforcement authorities about Sexual Misconduct and discuss the matter with a law enforcement officer without making a criminal complaint or a report or formal complaint to the College. Individuals who make a criminal complaint may also choose to report the incident and/or to pursue a formal complaint with the College simultaneously.

A criminal investigation into the matter does not preclude the College from conducting its investigation of a formal complaint. However, the College may temporarily delay its investigation while criminal investigators are gathering evidence. Although investigations under College and law enforcement procedures can be conducted simultaneously, they will be independent of one another. The College may obtain and use reports and information developed in the course of a law enforcement investigation in the College's investigation and resolution of a Complaint of Sexual Misconduct.

Neither the results of a criminal investigation nor the decision of law enforcement to investigate or decline to investigate the matter is determinative of whether Sexual Misconduct, for the purposes of this Policy, has occurred.

### **Protective Orders**

Any community member who obtains a protective order from a court must provide a copy of the order to Public Safety and the College's Title IX Coordinator. This will provide the College with knowledge of the Order and the requisite restriction and will enable the College to put supportive and/or other measures as may be needed in connection with the Order.

### **B. Reporting to the College**

The College strongly encourages anyone who has experienced, has knowledge of, or has witnessed Sexual Misconduct to make a report to the College. Making a report to the College does not require an individual to make a formal complaint or to participate in any subsequent College proceedings, nor is a report required in order for an individual to receive supportive measures.

**Note:** All of the following, including employees of the identified offices and departments, are employees required to immediately report all information (obtained directly or indirectly) about incidents of Sexual Misconduct involving a Community Member to the Coordinator. They must also report information as required under the Clery Act.

Reports of sexual misconduct can be made to the College by contacting any of

the following:

**The College Title IX/Sexual Misconduct Coordinator and Deputy Coordinators**

Reports can be submitted to the Coordinators 24 hours a day, seven days a week via email or during business hours (8:30 a.m. to 4:30 p.m., Monday through Friday) in person, or telephone. Anonymous and non-anonymous reports may also be filed online, using the reporting form located at [www.aic.edu/titleix](http://www.aic.edu/titleix).

<b>All Title IX &amp; Sexual Misconduct Related Complaints</b>	
<p><b>Matthew Scott</b>  Vice President for Student Affairs  Title IX Coordinator  Schwartz Campus Center, 1000 State Street, Springfield, MA 01109  413-205-3264  Matthew.scott@aic.edu</p>	
<b>Additional Resources for Title IX &amp; Sexual Misconduct Related Complaints</b>	
<p><u>Complaints against employees:</u>  <b>Millie Lopez-Cook</b>  Director of Human Resources  Deputy Title IX Coordinator  Adams Hall, 1000 State Street, Springfield, MA 01109  413-654-1479  millie.lopezcook@aic.edu</p>	<p><u>Complaints against students:</u>  <b>Alex Cross</b>  Dean of Students  Deputy Title IX Coordinator for Students  Campus Center, 1000 State Street, Springfield, MA 01109  Alexander.cross@aic.edu  413-654-1434</p>
<p><u>Complaints against employees:</u>  <b>Nicolle Cestero</b>  Deputy Title IX Coordinator  Adams Hall, 1000 State Street, Springfield, MA 01109  413-205-3800  nicolle.cestero@aic.edu</p>	<p><u>Complaints regarding gender equity in athletics:</u>  <b>Jessica Chapin</b>  Director of Athletics  Deputy Title IX Coordinator for Athletic Compliance  Athletic Complex, 1000 State Street, Springfield, MA 01109  413-205-3532  Jessica.chapin@aic.edu</p>

**American International College Campus Police**

Hines Hall  
413-205-3208  
Public Safety Officers are available 24 hours a day, seven days a week.

**Office of the Dean of Students**

Office staff members are available during business hours (8:30 a.m. to 4:30 p.m., Monday through Friday).

**Human Resources**

Human Resources staff members are available during business hours (8:30 a.m. to 4:30 p.m., Monday through Friday).

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### **C. Anonymous Reporting Options**

Anonymous reports may be made in writing to the Coordinator via the reporting form located at [www.aic.edu/titleix](http://www.aic.edu/titleix).

#### **A formal complaint cannot be made anonymously**

Individuals who choose to file anonymous reports of Sexual Misconduct are advised that the College will conduct an inquiry into the report. However, the College's ability to conduct an effective inquiry and to take action concerning the report may be significantly limited. Anonymous reports may be used for Clery Act data collection purposes.

Employees cannot make anonymous reports concerning information (obtained directly or indirectly) about incidents of Sexual Misconduct involving a Community Member. Employees must report all such information to the Title IX Coordinator.

### **D. Classroom Discussions and Public Awareness Events**

Classroom discussions and assignments as well as public awareness events such as "Take Back the Night," candlelight vigils, protests, survivor speak outs, film screenings, or other public forums in which incidents of Sexual Misconduct are disclosed shall not be considered to place the College on notice of an incident of Sexual Misconduct for purposes of triggering its obligation to investigate any particular incident(s).

### **E. Clery Act Reporting**

Pursuant to the Clery Act, the College includes statistics about certain offenses in its daily crime log and annual security report and provides those statistics to the United States Department of Education, but does so in a manner that does not include any personally identifying information about persons involved in an incident. If a report of Sexual Misconduct discloses a serious and continuing threat to the College community, where timely notice must be given to protect the health or safety of the community, the College is required to issue a timely notification to the community under the Clery Act. The timely warning will not provide personally identifying information about the victim.

### **F. Limited Immunity for Conduct Violations**

The College considers the reporting and resolution of Sexual Misconduct violations to be of paramount importance. Accordingly, students who in good faith make a report of sexual misconduct or who, in good faith, provide information to the College as a witness in connection with the investigation and resolution of a report or formal complaint of alleged Sexual Misconduct will not be subject to disciplinary sanctions for violations of

student conduct policies related to the underlying incident of alleged sexual misconduct unless the conduct violations were egregious.

## **VIII. PROCEDURES FOR RESPONDING TO REPORTS AND FORMAL COMPLAINTS**

These procedures outline the steps the College will take upon receiving a report or formal complaint of alleged Sexual Misconduct.

In order to protect the safety of the campus community, the Coordinator may review reports of alleged violations of this Policy even when a formal complaint has not been filed or has been withdrawn to determine if an investigation may be warranted. In such cases, the Coordinator may determine to file a formal complaint and proceed with an investigation even if a complainant has requested that the College take no action on the matter.

In making a determination to file a formal complaint, the Coordinator will consider the complainant's request, the College's obligations to address sexual misconduct, safety concerns, the interests of others involved, and the interests of the College community.

### **A. Supportive Measures**

The Coordinator is responsible for the implementation of supportive measures and for coordinating with other College officials and departments to do so. Upon receipt of a report or formal complaint of a violation of this Policy, the College, through the Coordinator, will promptly contact the complainant, or if unknown attempt to identify the complainant, to discuss the availability of supportive measures.

The Coordinator will also discuss the availability of supportive measures with the Respondent, as appropriate, with third parties taking into account the role of the third party and the nature of their relationship to the College. **See Section VI** for further information concerning Supportive Measures.

For information and assistance in arranging supportive measures, individuals may contact the Title IX Coordinator or a Deputy Coordinator.

### **B. Emergency Removal / Administrative Leave**

In connection with conduct covered by Title IX under this Policy, whether or not a grievance process is underway, the College may summarily remove a student from an educational programs and/or activities on an emergency basis, after undertaking an individualized safety and risk analysis, and upon the determination that the individual poses an immediate threat to the physical health or safety of any student or other individual (including themselves, the respondent, the complainant, or any other

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individual). In these situations, the College will provide the individual with notice and an opportunity to challenge the decision immediately following removal.

The College may place an employee who is named as a Respondent in a formal complaint under this Policy on administrative leave for all or any part of the investigation and resolution proceedings. However, leave will be administered in a manner that will allow the employee an equal opportunity to participate in the proceedings.

Conduct not covered by Title IX will follow the procedures outlined in the Student Handbook or Employee Handbook regarding Emergency Removal / Temporary Suspension.

### **C. Initial Assessment and Determination of Covered Conduct**

Where the complainant is known or identified, the Coordinator will arrange a meeting to discuss the report or formal complaint with the complainant and the procedures and actions available under this Policy to resolve the complaint.

The actions of the Coordinator in conducting the initial assessment will include, but are not limited to, the following:

- Inform complainant about how to access a copy of the Sexual Misconduct Policy.
- Provide complainant with information about confidential and non-confidential medical, wellness, and support resources on-campus and off-campus.
- Explain the importance of obtaining and preserving forensic and other evidence, and their right to contact law enforcement, or to decline to contact law enforcement, and/or to seek a protective order.
- Inform complainant of the prohibition of retaliation against individuals who make a report or formal complaint, or who participate in the grievance and resolution procedures under this Policy.
- Assess the nature and circumstances of the report and determine if the reported conduct demonstrates a threat to the health or safety of the College community that may warrant issuance of a timely warning or entry of the report in the daily crime log.
- Make a determination as to whether the conduct reported would, if substantiated, constitute conduct prohibited under this Policy.



- If the Coordinator determines that the conduct reported would, if substantiated, constitute conduct prohibited under this Policy, the complaint may move forward. If not, the complaint will be dismissed. If applicable, the complaint may be referred for action under other applicable College policies.
- If a formal complaint has not been made, the Coordinator will discuss the process for making a formal complaint with the complainant and the procedures and actions available under this Policy to resolve the complaint.
- Discuss any concerns or barriers to complainant's participation in any investigation or resolution procedures under this Policy and explain the College's policies concerning retaliation, privacy and confidentiality with respect to such procedures.
- Discuss the Complainant's preferred resolution for the complaint.
- If the complainant does not file a formal complaint or chooses not to go forward with a formal complaint, the Coordinator may review the matter to determine whether to file a formal complaint and proceed with an investigation even if complainant has requested that the College take no action.

#### **D. Designation of Resolution Procedure Based on Covered Conduct**

Different resolution procedures may apply to conduct prohibited under this Policy based on whether the conduct is prohibited by Title IX under Section II (A), or by the College under Section II (B). Where the Coordinator determines that the conduct reported would, if substantiated, constitute conduct prohibited under this Policy, they will designate the resolution procedure applicable to such conduct as follows:

1. All conduct prohibited under Section II (A) shall be resolved by means of the resolution and grievance procedures set forth in this Policy.
2. Where the conduct consists of conduct prohibited under both Section II (A) and (B), and arises from the same incidents and/or involves the same parties and witnesses, all of the claims shall be resolved by means of the resolution and grievance procedures set forth in this Policy.
3. Where the conduct consists solely of conduct prohibited under Section II (B), the Coordinator shall forward the case and all case materials to the appropriate College administrator(s) based on respondent's primary relationship to the College to be resolved utilizing the resolution process outlined in the Student or Employee Handbooks:
  - Dean of Students, Student Affairs- students

- Chief Human Resources Officer – employees
4. Upon receiving the report from the Coordinator, the appropriate Administrator will:
- Consult with other appropriate administrators as deemed appropriate by the College in resolving the matter.
  - Make a determination concerning responsibility based on a preponderance of the evidence.
  - Assign sanctions for any claims for which there is a finding of responsibility.
  - Dismiss any claims for which there is no finding of responsibility.
  - Document and communicate the decision and sanctions, if any, to both parties simultaneously (via electronic format).
  - Both parties will have equal rights to pursue an impartial appeal in accordance with **Section XI** of this Policy.

## **IX. Informal Resolution Process**

Subject to the consent of the parties and the approval of the Coordinator, the College may permit a formal complaint to be resolved through informal resolution. Informal resolution is available for cases under this Policy except those involving allegations that an employee has subjected a student to conduct prohibited under this Policy.

The informal resolution process is a voluntary, mediation-based process designed to provide parties with an option to reach an agreement to resolve the complaint through mediation outside of the College's formal grievance processes under the Policy. The mediation will be facilitated by an individual selected by the College.

In order to use the informal resolution process, both parties must provide voluntary, written consent to the informal resolution process and must receive written notice disclosing: the allegations, the requirements of the informal resolution process (including the circumstances under which it precludes the parties from resuming a formal complaint arising from the same allegations), and any outcomes resulting from participating in the informal resolution process (including the records that will be maintained or could be shared). At any time prior to agreeing to a resolution, any party has the right to withdraw from the informal resolution process and resume the College Sexual Misconduct grievance process with respect to the formal complaint.

The College will not require as a condition of enrollment or continuing enrollment, or

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employment or continuing employment, or enjoyment of any other right, waiver of the right to an investigation and adjudication of formal complaints of College Sexual Misconduct. Similarly, the College will not require, encourage, or discourage the parties from participating in the informal resolution process. The College will not offer the informal resolution process unless a formal complaint is filed and the Coordinator approves the use of the process for the resolution of the complaint.

The parties may submit a request to the Coordinator for approval to use the informal resolution any time after the filing of a formal complaint up to 48 hours prior to the scheduled start of an adjudicatory hearing under this Policy.

## **X. Sexual Misconduct Grievance Process – Investigation and Adjudication**

The College is committed to providing a prompt, fair, and impartial investigation and adjudication of all formal complaints alleging violations of this Policy. During the grievance process, both parties (complainant and respondent) will have equal rights to participate.

The Coordinator is responsible for overseeing the investigation and resolution of formal complaints, and will be the primary point of contact for complainant and respondents(s) throughout the grievance process. This process will result in the resolution of formal complaints through investigation, adjudication, or dismissal.

Individuals conducting proceedings under the grievance process will receive annual training on issues related to Sexual Misconduct, investigation procedures, and hearing procedures. The College will not disclose the identity of the parties, except as necessary to carry out grievance procedures or as may be permitted or required under state or federal law.

**Presumption of Good Faith Reporting:** The College presumes that reports of prohibited conduct are made in good faith. A finding that the alleged behavior does not constitute a violation of this Policy or that there is insufficient evidence to establish that the alleged conduct occurred as reported does not mean that the report was made in bad faith.

**Presumption of Non-Responsibility:** The respondent is presumed to be not responsible for the alleged conduct unless and until a determination regarding responsibility is made at the conclusion of the grievance process.

**Notices and Communications:** Unless the Coordinator gives written direction otherwise, all notices and other communications made by the College in connection with these procedures shall be deemed to have been delivered to a participant (Complainant, Respondent, witness, etc.) when sent electronically to the participant's College issued email account.

**Time Period:** The College will endeavor to complete the investigation and adjudication of formal complaints within ninety (90) calendar day(s) from the date of the investigators' first interview of the complainant. Timeframes for all phases of the grievance process, including the investigation, the hearing, and any related appeals, apply equally to both parties.

There may be circumstances that require the extension of time frames for good cause. The Coordinator may extend time frames to ensure the integrity and completeness of the investigation or adjudication, comply with a request by external law enforcement, accommodate the absence of a party, adviser, or witness, or for other legitimate reasons, including the complexity of the investigation and the severity and extent of the alleged misconduct. The College will notify the parties in writing of any extension of the time frames for good cause, and the reason for the extension.

**Standard of Evidence:** All determinations concerning responsibility for violations of the Sexual Misconduct Policy will be made using a preponderance of the evidence standard. Preponderance of the evidence means that, based on the evidence, it is more likely than not that the Respondent engaged in prohibited conduct as defined in the Sexual Misconduct Policy.

**Cooperation:** All Community Members involved in the investigation and resolution of Complaints are expected to cooperate with the Coordinator, Investigator and others with responsibility for implementation of these procedures. All Community Members participating as a Complainant, Respondent or witness under this Policy are expected to do so honestly. Individuals who make knowingly false or intentionally misleading statements in connection with any part of the process may be subject to disciplinary action.

**Conflict of Interest/Bias:** All individuals involved in the administration of the grievance process must be free of conflict of interest or bias for or against complainants or respondents generally or an individual complainant or respondent and be trained as required pursuant to Title IX regulations. Parties will be notified at the appropriate junctures of the identities of the individual(s) serving as investigators, hearing officers, and appeal officers.

A party who has concerns that one or more of the individuals assigned to perform the duties of one of these positions has a conflict of interest or is biased must report their concerns in writing to the Coordinator within 48 hours of being notified of their appointment to explain the basis for the claimed conflict or bias. The Coordinator will review the allegations of conflict or bias and will determine if the individual(s) identified can fulfill their duties impartially. If not, the Coordinator will replace the individual(s).

**Confidentiality:** While complainants, respondents, and witnesses involved in the grievance process under this Policy are strongly encouraged to exercise discretion in sharing information in order to safeguard the integrity of the process and to avoid the appearance of retaliation, complainants and respondents are not restricted from discussing the allegations under investigation.

Medical, psychological, and similar treatment records are privileged and confidential documents that cannot be accessed or used for a grievance process under this Policy without the relevant party's voluntary, written consent.

**Advisors:** Throughout the investigation and resolution process, the complainant and respondent have the right to be accompanied by an advisor of his or her choice during any College disciplinary proceeding, including any related meeting, interview, or hearing, held pursuant to the Sexual Misconduct Policy ("Proceeding"). An advisor may be any person, including an attorney. Complainant and respondent need not use the same advisor throughout the investigation and resolution process. However, he or she may only have a single advisor or support person present during any Proceeding.

Advisors may not participate actively or speak for the individual he or she is advising in any Proceeding other than during cross-examination during an adjudicatory hearing, although they may ask for brief breaks during meetings, interviews, or hearings to consult privately with the individual they are present to advise. The Advisor will conduct cross-examination of the opposing party during the adjudicatory hearing. If a party does not have an advisor for a scheduled adjudicatory hearing, they must notify the Coordinator and the College will provide an advisor for them for the hearing.

Advisors are subject to the same confidentiality expectations applicable to others in attendance. Accommodations, including scheduling of interviews or hearings, will not be made for any advisors if such will unduly delay the process. The College official presiding over a Proceeding may terminate the participation of an advisor who is disruptive or otherwise fails or refuses to comply with the College's rules and/or policies with respect to the Proceeding.

**Past History:** In general, information concerning either party's sexual history beyond the conduct forming the basis of the Complaint, will not be solicited or considered as part of the investigation and resolution process. However, where Consent is at issue and the parties have previously engaged in consensual sexual relations, the prior consensual sexual history between them including the manner and nature of communications between them, may be relevant to the assessment of issues concerning Consent, to explain the presence of a physical injury, or to help resolve other questions relevant to the conduct alleged in the complaint.

However, as previously stated in this Policy, the mere fact that the parties had engaged in a current or previous consensual sexual relationship, by itself, is not sufficient to

establish Consent to subsequent sexual activity, and there must be Consent for all sexual activity.

Information concerning incidents of similar conduct or acts of violence on the part of the Respondent prior or subsequent to the conduct raised in the Complaint, whether or not the subject of another Complaint or finding of responsibility, may be deemed relevant to the assessment of responsibility for conduct alleged in a complaint, if such information indicates a history of conduct related to the conduct alleged in the complaint.

**Consolidation:** The Coordinator has the discretion to consolidate multiple formal complaints of allegations of violations of the Sexual Misconduct Policy against more than one respondent, or by more than one complainant against one or more respondents, or by one party against the other party, where the allegations of arise out of the same facts or circumstances.

**Failure or Refusal to Participate:** The failure or refusal of a Complainant or Respondent to participate in any investigation or resolution proceedings will not prevent the College from moving forward. If after appropriate notice is given, a party fails or refuses to participate in any such Proceeding, the College shall continue the process and will make its determinations based on the information available.

The College will not take disciplinary or other adverse action against a party for choosing not to participate in the proceeding, refusal to answer questions, or to be cross-examined(s) at a hearing. Further, no adverse inference shall arise or be applied in making any decision or determination concerning a party based solely on the individual's absence from the proceeding, refusal to answer a question, or to be cross-examined at a hearing.

#### **A. Investigation**

If the Coordinator has determined, following an initial assessment, that an investigation is appropriate, they will refer the matter for investigation and will appoint an investigator. If needed, the investigator may be assisted in conducting investigation functions by other qualified individuals.

#### **Notice of Investigation**

Following the receipt and review of the formal complaint by the College Sexual Misconduct/Title IX Coordinator, and it being determined that the matter properly falls under this College Sexual Misconduct policy, the parties will be informed in writing of the initiation of the investigation. The written information shall include:

- The identities of the parties, if known.

- A concise summary of the alleged conduct at issue (including the time, date, and location of the incident, if known).
- Notice of the allegations potentially constituting College Sexual Misconduct and a statement of the policies allegedly violated (notices may be updated as additional information is received or identified).
- A statement that the respondent is presumed not responsible and that a determination regarding responsibility is made at the conclusion of the grievance process.
- A statement informing the parties that they may have an adviser of their choice, who may be, but is not required to be, an attorney.
- A statement informing the parties that they may request to inspect and review evidence.
- A statement informing the parties that knowingly making false statements or knowingly submitting false information during the grievance process may constitute a violation of College policy.
- Information regarding the applicable grievance procedures, including the informal resolution process.
- Notice of the individual appointed to conduct the investigation, including the individual's name and business address.
- If, during the investigation, additional information is disclosed that may also constitute prohibited conduct under this policy, the respondent and complainant will be informed in writing that such additional information will be included in the grievance process.

### **Collection of Evidence**

The investigator(s) will collect information from each party. While the complainant and the respondent are not restricted from gathering and presenting relevant evidence, the investigators are responsible for gathering relevant evidence to the extent reasonably possible. However, each party will be given an equal opportunity to suggest witnesses; provide other relevant information, such as documents, communications, photographs, and other evidence; and to submit a written statement concerning the allegations in the formal complaint.

Parties and witnesses are expected to provide all available relevant evidence to the investigator(s) during the investigation. While parties are not restricted from presenting information attesting to the parties' character, such evidence generally is

not considered relevant.

The investigator(s) will provide to a party written notice of the date, time, location, participants, and purpose of all investigative interviews to which they are invited or expected, with sufficient time (generally no less than three (3) calendar day(s), absent exigent circumstances) for the party to prepare to participate.

Parties will be interviewed separately and will be interviewed by the panel of investigators. The investigators will interview witnesses as necessary and may, at their discretion, delegate witness interviews to two investigators. The investigators will record all interviews, or notes of the interviews will be taken by the investigators. Any other recording of interviews is prohibited and violations may result in discipline.

In general, a party's medical and counseling records are confidential. The investigators will not access, consider, disclose, or otherwise use a party's records that are made or maintained by a physician, psychiatrist, psychologist, or other recognized professional or paraprofessional acting in the professional's or paraprofessional's capacity, or assisting in that capacity, and which are made and maintained in connection with the provision of treatment to the party, unless the investigators obtain that party's voluntary, written consent to do so.

The investigator(s) will not require, allow, rely upon, or otherwise use questions or evidence that constitute, or seek disclosure of, information protected under a legally recognized privilege (e.g., attorney-client, doctor-patient), unless the individual holding such privilege has waived the privilege.

### **Case File**

After investigator(s) have completed any witness interviews and gathering of evidence, the investigator(s) will prepare a case file. The case file will include all collected evidence that is directly related to the allegations raised in the formal complaint, including the evidence upon which the College does not intend to rely in reaching a determination regarding responsibility and including any inculpatory or exculpatory evidence, whether obtained from a party or other source as part of the investigation.

The case file may include, as applicable, transcripts or summaries of party and witness interviews and other collected documents and evidence. The investigator(s) will provide the case file (or, when deemed appropriate, a written summary of relevant information contained in the case file), redacted of personally identifiable information in accordance with privacy regulations, to each party and their adviser in electronic form or hard copy. In all cases, any information relied on in adjudicating the matter will be provided to the parties and their advisers. The investigator(s) will also provide an updated Notice of the Allegations, as appropriate.

Revised 10/24/22

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Within ten (10) calendar day(s) of receiving the case file (or written summary, as applicable), each party may respond in writing, which may include a request that the investigators collect additional evidence. If the investigator(s) believe that further information is needed following receipt of any responses from the parties, the investigator(s) will pursue any additional investigative steps as needed. The parties and their advisers will be provided with each party's written responses to the case file, if any, as well as any additional information collected by the investigators (or a written summary of same, as applicable), in electronic format or hard copy.

### **Investigation Report**

Following their review of the parties' responses (if any) to the case file (or written summary, as applicable), the investigator(s) will create a written investigative report that summarizes all relevant evidence; the report will not contain irrelevant information. The report should include a statement of the allegations, a description of the events in question, information concerning the scope and conduct of the investigation, and summaries of interviews conducted and relevant evidence developed (for example, documents, photographs, electronic records, police reports, text messages, statements, and other relevant materials). The report may include the investigator(s) observations with respect to the demeanor and participation of the parties and witnesses, relevant consistencies and inconsistencies in the information provided, and issues, if any, concerning the credibility of information submitted by parties and witnesses.

At least ten (10) calendar day(s) prior to the hearing, the investigative report (or a written summary of same, as applicable) will be provided to the parties and their advisers via electronic format.

The parties may choose to provide a written response to the investigative report, which must be submitted at least five (5) calendar day(s) prior to the start of the hearing. The response may consist of a written statement not to exceed five (5) double spaced pages. At least 48 hours prior to the hearing, the parties and their advisers will be provided with the other party's written response to the investigative report, if any, in electronic format.

### **B. Hearing**

The Coordinator may appoint a single individual to preside over the hearing as a Hearings Officer, or three individuals to act as a Hearing Board. One of the individuals selected for the Hearing Board shall be designated as the Chairperson of the Hearing Board. Hearings will generally be conducted as a live hearing during which it assembles (in person or virtually) all of the parties together at the same time.

The Hearings Officer or the Chair of the Hearing Board as the case may be, shall act as Chief Hearing Officer and will have absolute discretion with respect to administering the hearing. The Chief Hearing Officer will decide whether evidence and witnesses are relevant or irrelevant, with the understanding that the introduction of relevant evidence and witnesses will always be permitted, and shall be responsible for maintaining an orderly, fair, and respectful hearing.

The Chief Hearing Officer will have broad authority to respond to disruptive or harassing behaviors, including adjourning the hearing or excluding the offending individual, including a party, witness, or advisor.

Prior to the hearing, the Hearings Officer or Hearing Board will be provided with the case file (and written summaries, as applicable), investigative report, and any responses to the investigative report. Hearings Officer or each member of the Hearing Board shall review the case file (including the parties' responses), ask questions during the hearing as they deem appropriate, and participate in the deliberations leading to the adjudication of responsibility.

At least five (5) calendar day(s) prior to the hearing, the parties and their advisers will be notified of the hearing date, time, and location (or relevant electronic information if the hearing will be conducted remotely).

In advance of the hearing, parties will be given the opportunity to request that certain witnesses be called at the hearing. The Chief Hearing Officer has the discretion to exclude from the hearing evidence/witnesses/questions deemed irrelevant.

At the Chief Hearing Officer's discretion, pre-hearing meetings may be scheduled with each of the parties and their advisers to explain the hearing protocol. Each party may make requests related to the format or the nature of their participation in the hearing. The Chief Hearing Officer's will accommodate requests by either party for the hearing to occur with the parties located in separate locations with technology enabling the Hearings Officer or Hearing Board and the parties to simultaneously see and hear the party answering questions.

As appropriate and/or at the discretion of the Chief Hearing Officer, hearings may be conducted in person or by video conference or any other means of communications by which all individuals participating are able to see and hear each other.

### **Presentation of the Case**

A typical hearing may include brief opening remarks by the Chief Hearing Officer; questions posed by the Hearings Officer or Hearing Board to one or both of the

parties; questions posed by the Hearings Officer or Hearing Board to any relevant witnesses; and the opportunity for the parties Advisors to cross-examine the other party and relevant witnesses. Only relevant cross examination questions may be asked of a party or witness. The Chief Hearing Officer has the sole discretion to determine what questions are relevant. The Hearings Officer or Hearing Board will consider all relevant evidence.

### **Record of Hearing**

The College shall create an official record in the form of a recording or transcript of any live (or remote) hearing and make it available to the parties for inspection and review. Any other record of the hearing or any other recording is prohibited and violations may result in discipline and/or appropriate legal action.

### **Written Determination**

Following the hearing, the Hearings Officer or Hearing Board will consider all of the relevant evidence and deliberate regarding responsibility. In a case of presided over by a Hearings Officer, the Hearings Officer shall make a determination, by a preponderance of the evidence, whether the respondent has violated the policy.

In the case of a Hearing Board, the members of the panel shall deliberate and make a determination, by a preponderance of the evidence, whether the respondent has violated the policy by a majority vote of the members of the Hearing Board.

The parties and their advisors will simultaneously be provided with written notice of the determination (or, when deemed appropriate, a written summary of the written determination) of the hearing and disciplinary sanctions, if applicable, no later than seven (7) business days after the final determination is made.

The Chief Hearing Officer shall write a written determination, which will contain:

- the allegations potentially constituting College Sexual Misconduct;
- a description of the procedural steps taken from the receipt of the formal complaint through the determination (including any notifications to the parties, interviews with parties and witnesses, site visits (if any), methods used to gather other information, and the hearing);
- findings of fact supporting the determination;
- conclusions regarding the application of this policy to the facts;
- a statement of, and rationale for, the result as to each allegation, including a determination regarding responsibility (i.e., whether a policy violation

occurred), any disciplinary sanctions imposed by the Sanction Officer if there has been a finding of responsibility.

- whether any remedies designed to restore or preserve equal access to the College's education program or activity or working environment will be implemented; and
- relevant appeal information for the parties.

Disciplinary sanctions and remedies will be determined in accordance with the procedures listed below, and the information will be provided to the Chief Hearing Officer for inclusion in the written determination.

### **C. Disciplinary Sanctions and Remedies**

If a party is found to have violated this policy, before finalizing the written determination, the Chief Hearing Officer will refer the matter to the appropriate College official(s) to determine sanctions and remedies. Sanctions being imposed will be included in the written determination.

Sanctions will take into account the seriousness of the misconduct as compared to like cases in the past, the respondent's previous disciplinary history (if any), and institutional principles. Remedies, which may include supportive measures, will be designed to restore or preserve equal access to the College's education program or activity.

When there is a finding of responsibility, the Chief Hearing Officer will determine sanctions and remedies in consultation with relevant administrator(s). Sanctions will be included in the written determination and will be subject to appeal under this policy.

## **XI. Appeal**

Appeals under this policy will be reviewed by a single appeal officer in cases resolved by a single Hearing Officer or by the Appellate Board in cases resolved by a Hearing Board. Both parties shall have equal rights to an impartial appeal at the following junctures:

- Upon the dismissal of a formal complaint or any allegations therein.
- Upon receiving the Chief Hearing Officer's written determination regarding responsibility and, when applicable, sanction and remedies.

Appeals may be submitted only on the following bases:

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- procedural irregularity that affected the outcome of the matter;
- new evidence that was not reasonably available at the time the determination regarding responsibility or dismissal was made which could affect the outcome of the matter;
- the Coordinator, investigator(s), the Hearings Officer or any member of the Hearing Board, or Sanction Officer had a conflict of interest or bias for or against complainants or respondents generally or the individual complainant or respondent that affected the outcome of the matter.
- the sanction is inconsistent with the gravity of the offense (recognizing that sanctioning is cumulative and not based solely on an individual case).

To appeal, a party must electronically submit a written appeal statement at [www.aic.edu/myconduct](http://www.aic.edu/myconduct) within five (5) calendar days of receipt of the written determination or dismissal. Within a reasonable time of receiving a timely submitted appeal, the Coordinator shall notify the appropriate Appellate authority and notify the parties of the name and contact information for the Appeal Officer(s) who will review the appeal.

The appeal shall consist of a written statement not to exceed five (5) double spaced pages, outlining the basis for the appeal and the relevant information supporting the appeal. The non-appealing party will be provided with a copy of the appealing party's written statement and may submit a written response, not to exceed five (5) double spaced pages, to the Title IX Coordinator within five (5) calendar days of receipt of the appealing party's written statement. The non-appealing party's statement will be provided to the Appeal Officer(s) and to the appealing party. No further appeal submissions from the parties shall be permitted.

An appeal is limited in scope. The purpose of an appeal is not to initiate a review of substantive issues of fact or a new determination of whether a violation of College rules has occurred. In deciding an appeal, the Appeal Officer(s) may consider the case file, the investigative report and any responses thereto, the hearing record, the written determination, and any written appeal(s) submitted by the parties. The Appeal Officer(s) also may consider any other materials they deem relevant to the appeal and have been shared with the parties.

The parties and their advisors will simultaneously be provided (via electronic format) with the written decision from the Appeal Officer(s) describing the result of the appeal and the rationale for the result.

- If the Appeal Officer(s) finds that:

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- the earlier decision should stand, the parties will be so informed and the College Sexual Misconduct process is concluded.
- there was procedural irregularity that affected the outcome of the matter, the matter will be remanded to the Chief Hearing Officer to determine appropriate further action.
- new evidence is available which was not reasonably available at the time of the determination regarding responsibility or dismissal, and such evidence could alter the outcome of the matter, the matter will be remanded to the Chief Hearing Officer for appropriate further action.
- the College Sexual Misconduct/Title IX Coordinator, investigator, Hearings Officer or member of the Hearing Board, or Sanction Officer had a conflict of interest or bias for or against complainants or respondents generally or the individual complainant or respondent that affected the outcome of the matter, the Appeal Officer(s) will take appropriate measures to address and remediate the impact of the bias or conflict consistent with the general procedures of this policy.
- the sanction is inconsistent with the gravity of the offense, the Appellate Officer(s) can modify the sanctions imposed.

The Appellate Board will endeavor to complete the appeal review within fifteen (15) business days following receipt of the parties' appeal statements.

The determination regarding responsibility becomes final either on the date that the parties are provided with the written determination of the result of an appeal if an appeal is filed, or if an appeal is not filed, the date on which an appeal would no longer be considered timely.

#### **A. Potential Sanctions for Violations**

The Title IX Coordinator will review and monitor the application of formal and informal resolution procedures and appeals, if applicable, concerning formal complaints alleging violations of the Sexual Misconduct Policy for compliance with the requirements of Title IX and VAWA. The College reserves the right to amend, modify, suspend and/or change any College policy, procedure or rule in order to ensure such compliance.

Sanctions for a finding of responsibility depend upon the nature and gravity of the misconduct, and the adjudication and/or disciplinary procedures applicable to the Respondent. In general, sanctions for violations of the Sexual Misconduct Policy may include, without limitation, withholding a promotion or pay increase, reassigning employment, terminating employment, temporary suspension without pay,

compensation adjustments, expulsion or suspension from the College, expulsion from campus housing, mandated counseling, educational sanctions, and/or the suspension or revocation any other privilege with respect to membership or participation in the College Community.

In cases involving Sexual Harassment, the College will also take reasonable steps to eliminate any hostile environment that has been created, such as arranging trainings and disseminating informational materials.

## **XII. PREVENTION AND AWARENESS**

The College is committed to the prevention of Sexual Misconduct through education and awareness programs. Incoming students and new employees receive primary education and prevention training as part of their orientation, and returning employees and students receive ongoing training and related programs. The Title IX Coordinator is charged with monitoring the College's education, prevention and training programs as required by Title IX and VAWA.

Resources are also available through the Campus Police Department which provides the College Community with safety information as well as programs and workshops on sexual assault, crime prevention, and personal safety.

## **XIII. GENERAL**

The College reserves the right to amend or modify this Policy and the procedures herein to take into account applicable legal requirements, to address extraordinary circumstances, and/or as it deems necessary to further the purpose and intent of the Policy. Should any changes be made, notice will be provided via AIC email and changes become effective on the date of the notice unless otherwise specified in the notice.

In order to comply with FERPA and Title IX and to provide an orderly process for the presentation and consideration of relevant information without undue intimidation or pressure, the investigation and resolution procedures applicable to issues of Sexual Misconduct are not open to the general public. Accordingly, documents prepared and/or submitted in connection with proceedings including but not limited to complaints, reports, notices, summaries, recordings, transcripts, or other information introduced during the procedures may not be disclosed outside of the proceedings, except as may be required or authorized by law.

The College will retain documentation (including but not limited to the written complaint, notifications, the investigation materials, reports, recordings or transcriptions of hearings, findings of fact, petitions for appeal, and any written communications to or from the parties), for at least seven years. Documentation pertaining to expulsions or educational sanctions may be retained indefinitely.

Revised 10/24/22

**Note:** *the college reserves the right to amend, modify and/or make changes to the Sexual Misconduct Policy as it deems necessary. Should any changes be made, notice will be provided via AIC email and changes become effective on the date of the notice unless otherwise specified.*

## APPENDIX A

### Definitions

The following are provided to give further definition to some of the terms referenced in the Sexual Misconduct Policy. Any questions concerning the definition, meaning or application of any term of the Sexual Misconduct Policy should be directed to the Title IX Coordinator.

**Assigned Title IX Coordinator.** The “Assigned Title IX Coordinator” means either the Title IX Coordinator or the Deputy Title IX Coordinator assigned by the Title IX Coordinator or President to handle a given Complaint.

**Clery Act.** The Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (the “Clery Act”) is a federal statute codified at 20 U.S.C. § 1092(f), with implementing regulations in the U.S. Code of Federal Regulations at 34 CFR 668.46. The Clery Act requires all colleges and universities that participate in federal financial aid programs to keep and disclose information about crime on and near their respective campuses.

**Complainant.** Refers to the individual(s) who is alleged to be the victim of Sexual Misconduct as defined in College’s Sexual Misconduct Policy.

**Day.** A “day” is a business day, unless otherwise specified.

**FERPA.** The Family Educational Rights and Privacy Act (“FERPA”) is a federal statute codified at 20 U.S.C. § 1232g, with implementing regulations at 34 CFR Part 99. FERPA protects the privacy of student education records. FERPA grants to parents or eligible students the right to access, inspect, and review education records, the right to challenge the content of education records, and the right to consent to the disclosure of education records.

**Formal Complaint.** Refers to a document filed by a Complainant (meaning a document or electronic submission (such as by email) that contains the Complainant’s physical or digital signature, or otherwise indicates that the Complainant is the individual filing the formal complaint) alleging that a respondent(s) has engaged in conduct prohibited under the College’s Sexual Misconduct Policy, and requesting that the College investigate the allegation. The College’s Title IX / Sexual Misconduct Coordinator may also initiate a Formal Complaint by signing a document alleging that a respondent(s) has engaged in conduct prohibited under the College’s Sexual Misconduct Policy. In such cases, the College Title IX / Sexual Misconduct Coordinator is not a complainant or a party to the action.

A formal complaint may be filed with the College’s Title IX Coordinator in person, by mail, or by electronic mail, by using the contact information provided in this Policy, and by any additional method identified in this Policy.



**Investigator.** A neutral fact-gatherer who is designated by the Assigned Title IX Coordinator to investigate a Complaint.

**Official with Authority.** Refers to the College's Title IX/Sexual Misconduct Coordinator and Deputy Title IX/Sexual Misconduct Coordinators identified in the College's Sexual Misconduct Policy.

**Party or parties.** Refer to the complainant(s) and the respondent(s).

**Personally Identifiable Information.** Personally Identifiable Information includes, but is not limited to:

- a person's name;
- the name of a person's parent(s) or other family members;
- the address of a person or a person's family;
- a personal identifier, such as a person's social security number, student/employee number, or biometric record;
- other indirect identifiers, such as a person's date of birth, place of birth, or mother's maiden name;
- other information that, alone or in combination, is linked or linkable to a specific person and that would allow a reasonable person in the College community, who does not have personal knowledge of the relevant circumstances, to identify the Student with reasonable certainty; or
- Information requested by a person whom the College reasonably believes knows the identity of the person to whom the information relates.

**Reasonable Person.** Means a reasonable person under similar circumstances and with similar identities to the alleged victim.

**Report.** A report refers to information brought to the attention of an Official with Authority alleging that an incident(s) of conduct prohibited under the College's Sexual Misconduct Policy has occurred; a report is not considered to be a formal complaint. A party may bring a report and then subsequently file a formal complaint.

**Respondent.** A "Respondent" is an individual accused of engaging in conduct prohibited under the College's Sexual Misconduct Policy, in a Formal Complaint.

**Third party** refers to any individual who is not a College student, a faculty member, or a staff member (e.g., vendors, alumni/ae, or local residents).

**VAWA.** Section 304 of the Violence Against Women Reauthorization Act of 2013.

**Witness** refers to any individual who shares information relating to an allegation of prohibited conduct under this Policy.

## **APPENDIX B**

### **On-Campus Resources**

The following represent some of the resources and services available to Students and Employees.

#### **A. On-Campus Confidential Resources**

##### **Confidential Resource Provider**

Milly Velazquez, Office Manager / Medical Assistant  
Dexter Health Services  
413.205.3248  
mildred.velazquez@aic.edu

The Confidential Resource Provider (“CRP”) can provide information and support to Community Members concerning issues of Sexual Misconduct.

The CRP will keep confidential and will not release any confidential information provided to them by a person in connection with receiving the CRP’s services unless the person provides the CRP with written authorization. This means that the CRP will not disclose confidential information to a College official, Title IX, law enforcement, or to any agency or individual without your written consent unless compelled to do so by state or federal law.

The Confidential Resource provider can provide information and support concerning:

- Options for reporting Sexual Misconduct to the College and/or law enforcement
- Counselling Services
- Medical and health services
- Support services available from the College
- The Title IX process and disciplinary procedures
- Reporting to law enforcement and/or the process for pursuing legal action including protective orders

Note: Providing information to the CRP concerning an incident(s) of Sexual Misconduct does not place the College on notice of such conduct, either constructively or actually.

**AIC Counseling Center**  
Renee Rosado, Director  
Dexter Counseling Center  
413.205.3248  
renee.rosado@aic.edu

The Counseling Center provides support and counseling to Student victims of Sexual Misconduct and can explain common reactions to crises and discuss coping methods that may assist victims following a Sexual Assault.

**AIC Health Services**  
Kaelly Miller, Director of Health Services  
Dexter Health Services  
413.205.3248  
Kaelly.miller@aic.edu

Student Health Services can provide free confidential medical care and referrals for Students to receive follow-up care and screening for sexually transmitted diseases.

#### **Athletic Trainers**

Athletic Trainers who are licensed by the Commonwealth of Massachusetts and employed by the College to provide first aid and treatment to Student athletes can provide confidential care, support and guidance to Student victims of Sexual Misconduct.

#### **B. On-Campus Non-Confidential Resources**

These on-campus non-confidential resources can provide Community Members with information and assistance with respect to reporting Sexual Misconduct to the College and/or law enforcement, obtaining supportive measures, and access to College and community resources for emergency and on-going assistance, medical treatment, and counseling, support, and advocacy services.

**Take Note:** Employees of the following offices and departments are required to report all information (obtained directly or indirectly) about incidents of Sexual Misconduct involving a Community Member to the Title IX/ Sexual Misconduct Coordinator. They must also report information as required under the Clery Act.

**Title IX / Sexual Misconduct Coordinator and Deputy Coordinator(s)**

<b>All Title IX &amp; Sexual Misconduct Related Complaints</b>	
<p><b>Matthew Scott</b>                  Vice President for Student Affairs                  Title IX Coordinator                  Schwartz Campus Center, 1000 State Street, Springfield, MA 01109                  413-205-3264                  Matthew.scott@aic.edu</p>	
<b>Additional Resources for Title IX &amp; Sexual Misconduct Related Complaints</b>	
<p><u>Complaints against employees:</u>  <b>Millie Lopez-Cook</b>                  Director of Human Resources                  Deputy Title IX Coordinator                  Adams Hall, 1000 State Street, Springfield, MA 01109                  413-654-1479                  millie.lopezcook@aic.edu</p>	<p><u>Complaints against students:</u>  <b>Alex Cross</b>                  Dean of Students                  Deputy Title IX Coordinator for Students                  Campus Center, 1000 State Street, Springfield, MA 01109                  Alexander.cross@aic.edu                  413-654-1434</p>
<p><u>Complaints against employees:</u>  <b>Nicolle Cestero</b>                  Deputy Title IX Coordinator                  Adams Hall, 1000 State Street, Springfield, MA 01109                  413-205-3800                  nicolle.cestero@aic.edu</p>	<p><u>Complaints regarding gender equity in athletics:</u>  <b>Jessica Chapin</b>                  Director of Athletics                  Deputy Title IX Coordinator for Athletic Compliance                  Athletic Complex, 1000 State Street, Springfield, MA 01109                  413-205-3532                  Jessica.chapin@aic.edu</p>

**Campus Police**

Hines Hall  
 413-265-2278  
 Campus Police Officers are available 24 hours a day, seven days a week.

**Office of the Dean of Students**

Campus Center, Room SC211  
 413-205-3264  
 Office staff members are available during business hours (8:30 a.m. to 4:30 p.m., Monday through Friday).

**Human Resources**

Human Resources staff members are available during business hours (8:30 a.m. to 4:30 p.m., Monday through Friday).

**Resident Advisor (RA) or Residence Life Staff Member**

An RA or Residential Life staff member can provide immediate support and referrals for further care.

## APPENDIX C

### Off-Campus Resources

#### A. Sexual and Domestic Violence Crisis Centers:

##### Local Resources

###### **YWCA Springfield MA**

YWCA offers comprehensive services to survivors, including short-term psychoeducation and 1:1 and group counseling; a 24/7/365 bilingual sexual assault hotline; emergency shelter, transitional housing, and navigation assistance within systems addressing housing and homelessness; and clinical services. These services may be used by staff, faculty, and students at AIC.

Phone numbers: 413-733-7100 (TTY/V), 800-796-8711 (TTY/V), Llamano: Spanish-language 800-223-5001

###### **Center for Women and Community**

UMass Amherst

180 Infirmary Way

Amherst, MA 01003

Hotline: (413) 545-0800

Office: (413) 545-0883

TTY: (413) 577-0940

The Center offers support to survivors of rape; sexual assault; childhood sexual abuse; stalking; domestic violence; emotional, psychological and verbal abuse. Additionally, the Center is available to support survivors' friends, family members, and significant others. Rape Crisis Services are available 24 hours, 7 days/week to provide support, information, crisis intervention, and medical and legal advocacy.

##### Additional Resources

###### Greater Boston Area

Boston Area Rape Crisis Center, Cambridge, 800-841-8371 Hotline, 617-492-6434 TTY

###### Northeastern Massachusetts

North Shore Rape Crisis Center, Lynn, 800-922-8772 Hotline, 978-921-8729 TTY Rape Crisis Services of Greater Lowell, 800-542-5212 Hotline, 978-452-8723 TTY YWCA of Greater Lawrence, 877-509-9922 SA Hotline, 978-686-8840 TTY

###### Central Massachusetts

Rape Crisis Center of Central Mass., Worcester, 800-870-5905 Hotline, 888-887-7130 TTY

Rape Crisis Center of Central Mass., Fitchburg, 800-870-5905

Wayside Victim Services, Milford, 800-511-5070 Hotline, 508-478-4205 TTY 23

Voices Against Violence, Framingham, 800-593-1125 Hotline, 508-626-8686 TTY

**Southeastern Massachusetts**

A Safe Place, Nantucket, 508-228-2111 Hotline, 508-228-0561 TTY  
Independence House, Hyannis, 800-439-6507 Hotline, 508-771-6782 TTY  
Women Support Services, Vineyard Haven, 508-696-7233, 508-684-8176 TTY  
Greater New Bedford Women Center, New Bedford, 888-839-6636 Hotline, 508-996-1177 TTY  
New Hope, Attleboro, 800-323-4673 Hotline/TTY  
Womansplace Crisis Center, Brockton, 508-588-8255 SA Hotline, 508-894-2869 TTY

**Western Massachusetts**

Elizabeth Freeman Center, Pittsfield, 866-401-2425 Hotline, 413-499-2425 TTY  
Everywoman Center, Amherst, 413-545-0800 Hotline, 413-577-0940 TTY  
NELCWIT, Greenfield, 413-772-0806 Hotline/ 413-772-0815 TTY  
Safe Passage, (888) 345-5282

**B. Medical Facilities**

Immediate medical care can be obtained at local hospitals. Several area hospitals have SANE (Sexual Assault Nurse Examiner) nurses on staff who have been trained to work with victims of sexual assault through the medical process. This examination is a voluntary procedure and it does not commit you to any legal action. It is an individual’s right to ask for a SANE nurse to perform the examination. Hospitals in the Springfield area with sexual assault nurse examiners are:

Baystate Medical Center 759 Chestnut Street Springfield, MA 01199 (413) 794-0000	Mercy Medical Center 271 Carew Street Springfield, MA 01104 (413) 748-0000
Cooley Dickinson Hospital 30 Locust Street Northampton, MA 01061 413-582-2000	Baystate Wing Hospital 40 Wright Street Palmer, MA 01069 413-283-7651

**C. Law Enforcement**

**Springfield Police Department**

130 Pearl Street  
Springfield, MA 01105  
413-787-6302  
Emergency – 911

**Hampden County District Attorney's Office**

50 State Street  
Springfield, MA 01109  
(413) 747-1000

**D. Financial Assistance**

Confidential financial assistance may be available for costs related to medical care, mental health counseling, and other expenses through the Victims of Violence Crime Compensation Program, which operates out of the Attorney General's Office. Victim Compensation (508) 755-8601.

**E. Legal Assistance**

**Community Legal Aid**

1 Monarch Place  
Springfield, MA 01144  
413-748-9000  
<https://communitylegal.org>

**Victim Rights Law Center:** 115 Broad Street, 3rd Floor Boston, MA 02110 Phone: 617-399-6720 (legal services for victims of sexual assault) <https://www.victimrights.org/>

**The United States Equal Employment Opportunity Commission ("EEOC")**

John F. Kennedy Federal Building  
475 Government Center  
Boston, MA 02203  
(617) 565-3200  
(800) 669-4000

**Massachusetts Commission Against Discrimination ("MCAD")**

Boston Office:

One Ashburton Place Sixth Floor, Room 601 Boston, MA 02108 (617) 994-6000

Worcester Office:

455 Main Street  
Room 100  
Worcester, MA 01610  
(508) 799-8010

Springfield Office:

436 Dwight Street  
Second Floor, Room 220  
Springfield, MA

## **F. National and Other Area Resources**

**The National Stalking Resource Center:** <https://victimsofcrime.org/stalking-resource-center/>

**Stalking, Prevention, Awareness, and Resource Center (SPARC):**  
<https://www.stalkingawareness.org/>

**National Sexual Assault Hotline:** 800-656-4673 (24 hour)  
<https://www.rainn.org/about-national-sexual-assault-telephone-hotline>  
**RAINN [Rape Abuse & Incest National Network]:** 800-656-4673 (Hotline)  
[www.rainn.org](http://www.rainn.org)(On-Line Live Chat)

**Safelink MA Hotline:** 877.785.2020 (24/7)

**MA Spanish Language Rape Crisis Center Hotline (Llamanos):** 800-223-5001(Hotline)

**National Domestic Violence Hotline:** 800-799-7233 (24 hour)

**Healing Abuse Working for Change (HAWC):** 800.547.1649 (24/7)

**Crisis Text Line for People of Color:** Text STEVE to 741741

**The Trevor Project (LGBTQ Suicide Hotline):** 866-488-7386

**Trans Lifeline:** 877-565-8860

**Our Deaf Survivors Center:** VP 978-451-7225, Text 978-473-2678

**Male Survivor:** <https://malesurvivor.org>

**National Suicide Prevention Lifeline:** 800-273-8255 (Hotline)

### **Massachusetts Office for Victim Assistance (MOVA)**

MOVA upholds and advances the rights of crime victims and witnesses by providing outreach and education, policy advocacy, policy and program development, legislative advocacy, grants management, and service referrals.

### **Support for Survivors of Sexual Assault**

MOVA supports free services throughout Massachusetts to help victims and survivors of sexual assault. These services provide a range of options to support an individual's specific needs, including:

- 24/7 hotline counseling, information, and referral;
- will go with victims to hospitals and/or police stations 24/7;
- will go with a victim to court;
- provide one-to-one counseling and support group counseling; and
- provide primary prevention education, professional training and outreach.

<https://www.mass.gov/sexual-assault-and-rape-services>



## APPENDIX D

### **If You Experience Sexual Misconduct:**

The safety, health and well-being of the College's community members is our primary concern. If you experience Sexual Misconduct of any kind, we urge you to talk to someone and seek immediate support and assistance regardless of when or where the incident took place. This policy provides information on a number of resources, confidential and non-confidential, that can provide you with immediate physical and emotional care and your safety on or off campus. Please know that you are not alone and that help is available.

Seeking treatment, reporting sexual misconduct and/or choosing to pursue criminal or other legal action is always your choice. Pursuing police or medical assistance does not obligate you to make a complaint or take any further action, but the decision to seek medical help and gather evidence will allow you to preserve options to pursue criminal or other actions if you decide to do so in the future. The College will assist you in getting to a safe place, to access medical assistance, and, if you choose, in contacting law enforcement.

### **Preserving Evidence:**

Any person who has experienced Sexual Misconduct is encouraged to take steps to preserve evidence of the incident, as doing so may be necessary to prove that a crime occurred, or to obtain a protection order from the court. After an incident occurs, you should try to refrain from bathing, showering, brushing teeth, drinking, eating, douching or changing clothes until the evidence can be collected. If you change clothes, place each garment in a separate paper (not plastic) bag. If the incident involves any written or electronic communications (e.g. pictures/videos, texts, social media posts, etc.), care should be taken to preserve copies and not delete the original communication.